

FWA #: FWA00005001
Institution: Mayo Clinic
Expires: 07/05/2027

OMB No. 0990-0278
Approved for use through August 31, 2023

Federalwide Assurance (FWA) for the Protection of Human Subjects

1. Institution Filing Assurance

Legal Name: Mayo Clinic
City: Rochester State/Province: MN Country: USA

2. Institutional Components

List below all components over which the Institution has legal authority that operate under a different name. Also list with an asterisk (*) any alternate names under which the Institution operates.

NOTE: The Signatory Official signing this Assurance must be legally authorized to represent the Institution providing this Assurance and all components listed below.

Name of Component or Alternate Names Used	City	State (or Country if Outside U.S.)	
Mayo Clinic	ROCHESTER	MN	A
Mayo Clinic Hospital - Rochester - Methodist Campus	ROCHESTER	MN	A
Mayo Clinic Arizona	SCOTTSDALE	AZ	A
Mayo Clinic Jacksonville	JACKSONVILLE	FL	A
Mayo Clinic Hospital - Rochester - Saint Marys Campus	ROCHESTER	MN	A
MFMER, d.b.a. Air Medical Transport	ROCHESTER	MN	A
Mayo Clinic Florida d/b/a Mayo Clinic Hosp	Jacksonville	FL	A
MFER d.b.a. Mayo Clinic Pharmacy	Rochester	MN	A
Charter House, Inc.	Rochester	MN	A
Mayo Regional Practices - AZ d.b.a. Thunderbird Clinic	Scottsdale	AZ	A
Mayo Regional Practices- AZ d.b.a. Arrowhead Clinic	Fountain Hills	AZ	A
Mayo Family Clinic NW	Rochester	MN	A
Mayo Family Clinic Kasson	Kasson	MN	A
Mayo Family Clinic NE	Rochester	MN	A
Mayo Clinic Radiation Therapy Facility	Northfield	MN	A
St. Augustine Clinic	St. Augustine	FL	A
Beaches Primary Care Center	Jacksonville Beach	FL	A

MC Primary Care	Jacksonville	FL	A
Mayo Sleep Center	Jacksonville	FL	A
Roger Main Building	Jacksonville	FL	A
Hospice of North Florida	Jacksonville	FL	A
Fountain Ctrs	Albert Lea	MN	A
Mayo Clinic Health System - Austin and Albert Lea	Albert Lea	MN	A
Mayo Clinic Health System - Kiester	Kiester	MN	A
Mayo Clinic Health System - Lake Mills	Lake Mills	IA	A
Mayo Clinic Health System - New Richland	New Richland	MN	A
Mayo Clinic Health System - Wells	Wells	MN	A
Mayo Clinic Health System - Adams	Adams	MN	A
Mayo Clinic Health System - Blooming Prairie	Blooming Prairie	MN	A
Mayo Clinic Health System - LeRoy	LeRoy	MN	A
Mayo Clinic Health System - Alden	Alden	MN	A
Mayo Clinic Health System - HealthReach	Albert Lea	MN	A
Mayo Clinic Health System - Express Care	Albert Lea	MN	A
Mayo Clinic Health System - Austin Eye Center	Austin	MN	A
Mayo Clinic Health System - Albert Lea Eye Clinic	Albert Lea	MN	A
Fountain Centers - Faribault	Faribault	MN	A
Fountain Centers - Mankato	Mankato	MN	A
Fountain Centers - Owatonna	Owatonna	MN	A
Fountain Centers - Rochester	Rochester	MN	A
Fountain Centers - Waseca	Waseca	MN	A
Fountain Centers - Jackson	Jackson	MN	A
Fountain Centers - Fairmont	Fairmont	MN	A
Mayo Clinic Health System in Mankato	Mankato	MN	A
Mayo Clinic Health System - New Prague	New Prague	MN	A
Mayo Clinic Health System - St. James	St. James	MN	A
Mayo Clinic Health System - Springfield	Springfield	MN	A
Mayo Clinic Health System - Waseca	Waseca	MN	A
Mayo Clinic Health System - Fairmont	Fairmont	MN	A
Mayo Healthcare Foundation	Mankato	MN	A
Mayo Clinic Health System - Eau Claire Clinic, Inc.	Eau Claire	WI	A
Mayo Clinic Health System - Northland, Inc.	Barron	WI	A
Mayo Clinic Health System - Chippewa Valley, Inc.	Bloomer	WI	A
Mayo Clinic Health System - Oakridge, Inc.	Osseo	WI	A
Mayo Clinic Health System - Red Cedar, Inc.	Menomonie	WI	A
Mayo Clinic Health System - Northland, Inc.	Cameron	WI	A
Mayo Clinic Health System - Northland, Inc	Chetek	WI	A

Mayo Clinic Health System - Northland, Inc	Prairie Farm	WI	A
Mayo Clinic Health System - Northland, Inc.	Rice Lake	WI	A
Mayo Clinic Health System - Chippewa Valley, Inc.	Chippewa Falls	WI	A
Mayo Clinic Health System - Chippewa Valley, Inc.	Colfax	WI	A
Mayo Clinic Health System - Oakridge, Inc.	Mondovi	WI	A
Mayo Clinic Health System - Red Cedar, Inc.	Elmwood	WI	A
Mayo Clinic Health System - Red Cedar, Inc.	Glenwood City	WI	A
Mayo Clinic Health System - Home Health & Hospice, Inc.	Chippewa Falls	WI	A
Mayo Clinic Health System - Home Health & Hospice, Inc.	Barron	WI	A
Mayo Clinic Health System - Home Health & Hospice, Inc.	Menomonie	WI	A
Mayo Clinic Health System - Home Health & Hospice, Inc.	Osseo	WI	A
Mayo Clinic Health System - Supportive HomeCare, Inc.	Chippewa Falls	WI	A
Mayo Clinic Health System - Pharmacy & Home Medical, Inc.	Eau Claire	WI	A
Mayo Clinic Health System - Pharmacy & Home Medical, Inc.	Osseo	WI	A
Mayo Clinic Health System - Franciscan Healthcare in La Crosse	LaCrosse	WI	A
Mayo Clinic Health System - Franciscan Healthcare in Onalaska	Onalaska	WI	A
Mayo Clinic Health System - Franciscan Healthcare in Holmen	Holmen	WI	A
Mayo Clinic Health System - Franciscan Healthcare in Sparta	Sparta	WI	A
Mayo Clinic Health System - Franciscan Healthcare in Tomah	Tomah	WI	A
Mayo Clinic Health System - Franciscan Healthcare in Prairie du Chien	Prairie du Chien	WI	A
Mayo Clinic Health System - Franciscan Healthcare in La Crescent	La Crescent	MN	A
Mayo Clinic Health System - Franciscan Healthcare in Caledonia	Caledonia	MN	A
Mayo Clinic Health System - Franciscan Healthcare in Waukon	Waukon	IA	A
Mayo Clinic Health System - Franciscan Healthcare in Arcadia	Arcadia	WI	A
Winneshiek Medical Center	Decorah	IA	A
Mayo Clinic Health System - Franciscan Healthcare in La Crosse - Behavioral Health Service	LaCrosse	WI	A
Mayo Clinic Health System - Franciscan Healthcare in Sparta - Behavioral Health Service	Sparta	WI	A
Mayo Clinic Health System - Franciscan Healthcare in Tomah - Behavioral Health Service	Tomah	WI	A
Mayo Clinic Health System - Franciscan Healthcare in Prairie du Chien - Behavioral Health Service	Prairie du Chien	WI	A
Mayo Clinic Health System - Franciscan Healthcare in Onalaska - Behavioral Health Service	Onalaska	WI	A

Mayo Clinic Health System - Franciscan Healthcare in La Crosse - Home Health Service	La Crosse	WI	A
Mayo Clinic Franciscan - Holmen - Behavioral Health Service	Holmen	WI	A
Mayo Clinic Franciscan - Arcadia - Behavioral Health Service	Arcadia	WI	A
Mayo Clinic Health System - Eau Claire Hospital, Inc.	Eau Claire	WI	A
Mayo Clinic Health System - Albert Lea and Austin	Austin	MN	A
Mayo Clinic Health System - Franciscan Medical Center, Inc.	LaCrosse	MN	A
Mayo Clinic Health System - Wabasha	Wabasha	MN	A
Mayo Clinic Health System - Plainview	Plainview	MN	A
Mayo Clinic Health System - Alma	Alma	WI	A
Mayo Clinic Health System - Lake City	Lake City	MN	A
Mayo Clinic Health System - Cannon Falls	Cannon Falls	MN	A
Mayo Clinic Health System - Owatonna	Owatonna	MN	A
Mayo Clinic Health System - Faribault	Faribault	MN	A
Mayo Clinic Health System - Red Wing	Red Wing	MN	A
Mayo Clinic Health System - Zumbrota	Zumbrota	MN	A
Mayo Clinic Health System - Ellsworth	Ellsworth	WI	A
Mayo Clinic Square	Minneapolis	MN	A
Mayo Family Clinic SE	Rochester	MN	A
Mayo Clinic Sports Medicine	Tempe	AZ	A
Mayo Clinic Ambulance	Rochester	MN	A
Mayo Clinic Hospital	Jacksonville	FL	A
Mayo Clinic Healthcare in London	London	UK	A
Academic and Community Cancer Research United	Rochester	MN	A

3. Statement of Principles

This Institution assures that all of its activities related to human subjects research, regardless of the source of support, will be guided by the following statement of principles governing the institution in the discharge of its responsibilities for protecting the rights and welfare of human subjects of research conducted at or sponsored by the institution. (indicate below)

The Belmont Report

4. Applicability

(a) This Assurance applies whenever this Institution becomes engaged in human subjects research conducted or supported by any U.S. federal department or agency that has adopted the U.S. Federal Policy for the Protection of Human Subjects (also known as the Common Rule), unless the research is otherwise exempt from the requirements of the Common Rule or the department or agency conducting or supporting the research determines that the research shall be conducted under a separate assurance.

(b) Optional: This Institution elects to apply the following to all of its human subjects research regardless of the source of support, except for research that is covered by a separate assurance:

5. Assurance of Compliance with the Terms of the Federalwide Assurance

(a) This Institution assures that whenever it engages in research to which this Assurance applies, it will comply with the Terms of the Federalwide Assurance (contained in a separate document on the Office for Human Research Protections (OHRP) website).

8. Signatory Official (i.e., Official Legally Authorized to Represent the Institution)

I have read and agree to the Terms of the Federalwide Assurance.

I recognize that providing research investigators, IRB members and staff, and other relevant personnel with appropriate initial and continuing education and training about human subject protections will help ensure that the requirements of this Assurance are satisfied.

Acting officially in an authorized capacity on behalf of this Institution and with an understanding of the Institution's responsibilities under this Assurance, I assure protections for human subjects as specified above. The IRB(s) that this institution relies upon will comply with the Terms of the Federalwide Assurance when reviewing research covered by this Assurance and possess appropriate knowledge of the local context in which this Institution's research will be conducted.

All information provided with this Assurance is up-to-date and accurate. I am aware that false statements could be cause for invalidating this Assurance and may lead to other administrative or legal action.

Signature: Joshua B Murphy JD

Date: 06/27/2022

First Name: Joshua Middle Initial: B Last Name: Murphy

Degrees or Suffix: JD Institutional Title: Chief Legal Officer

Institution: Mayo Clinic

Telephone: 507 538-1103 FAX: 507 293-1637 E-Mail: murphy.joshua@mayo.edu

Address: Mayo 1153E
200 First Street SW

City: Rochester State/Province: MN Country: USA

9. FWA Approval

The Federalwide Assurance for the Protection of Human Subjects for Institutions Within the United States submitted to HHS by the above Institution is hereby approved.

Assurance Number: FWA00005001

Expiration Date: 07/05/2027

Signature of HHS Approving Official: Carla James

Date: 07/05/2022

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0990-0278 . The time required to complete this information collection is estimated to average 30 minutes per response, including the time to review instructions, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave., S.W., Suite 336-E, Washington D.C. 20201, Attention: PRA Reports Clearance